

SEC Proposed Rules			
<u>Proposed Rule</u>	<u>Date Issued</u>	<u>Date Published</u>	<u>Comments Due</u>
Conflicts of Interest for DCOs	10/13/10	10/26/10	11/26/10
Market Manipulation	11/3/10	11/8/10	12/23/10
Whistleblower Provisions	11/3/10	11/17/10	12/17/10
Reporting and Disseminations of Security-Based Swap Information	11/19/10	12/2/10	1/18/11
Security-Based Swap Data Repositories	11/19/10	12/10/10	1/24/11
Entity Definitions (<i>Joint rulemaking with the CFTC</i>)	12/3/10	12/22/10	2/22/11
End-User Exception to Mandatory Clearing	12/15/10	12/21/10	2/4/11
Process for Review of Security-Based Swaps for Clearing	12/15/10		